

AXIS/CO/CS/163/2020-21

13<sup>th</sup> July 2020

Shri Kautuk Upadhyay  
The Chief Manager,  
Listing & Compliance Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor  
Plot No. C/1, "G" Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai – 400 051

Shri Khushro Bulsara  
The Senior General Manager –  
Listing Department  
BSE Limited  
1<sup>st</sup> Floor, New Trading Ring, Rotunda Building  
P. J. Towers, Dalal Street  
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

**SUB: COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27(2) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE QUARTER ENDED 30<sup>TH</sup> JUNE 2020 - AXIS BANK LIMITED**

We are pleased to enclose a copy of the Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> June, 2020, pursuant to regulation 27(2) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

You are requested to take the above on record.

Yours faithfully,  
**For Axis Bank Limited**

**Girish V. Koliyote**  
**Company Secretary**

Encl.: As above

AXIS BANK

Legal & CS: Axis House, Wadia International Centre, Pandurang Budhkar marg, Worli, Mumbai 400 025  
Registered Address: "Trishul" - 3rd Floor, Opp. Samartheswar Temple, Near Law Garden, Ellisbridge,  
Ahmedabad - 380006. Telephone No.: 079-26409322 Fax No.: 079-26409322  
CIN : L65110GJ1993PLC020769 Website: www.axisbank.com



[Home](#)[Validate](#)[Import XML](#)

### General information about company

Scrip code	532215
NSE Symbol	AXISBANK
MSEI Symbol	NOTLISTED
ISIN	INE238A01034
Name of the entity	AXIS BANK LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Quarterly
Date of Report	30-06-2020
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

[Prev](#)[Next](#)

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add Notes																		
Whether the listed entity has a Regular Chairperson		Yes																		
Whether Chairperson is related to MD or CEO		No																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 24(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Add		Delete																		
1	Mr	Rakesh Makhiya	AEZPM2436D	00117962	Non-Executive - Independent Director	Chairperson		24-07-1951	No		27-10-2015	27-10-2015		56	2	2	4	1		
2	Mr	Amitabh Chaudhry	ABVPC2496D	00531120	Executive Director	Not Applicable	CEO-MD	02-07-1964	No		01-01-2019	01-01-2019			1	0	0	0		
3	Mr	Rohit Bhagat	ACAP86287E	02968574	Non-Executive - Independent Director	Not Applicable		03-04-1964	No		16-01-2013	01-04-2019		15	1	1	0	0		
4	Mr	S. Vishvanathan	ABZPV2473J	02255828	Non-Executive - Independent Director	Not Applicable		30-04-1954	No		11-02-2015	11-02-2020		5	2	2	6	1		
5	Mrs	Ketaki Bhagwati	ARNPB1105H	07367868	Non-Executive - Independent Director	Not Applicable		24-01-1964	No		19-01-2016	19-01-2016		53	2	2	1	0		
6	Mr	B. Babu Rao	ACEPB1733F	00425793	Non-Executive - Nominee Director	Not Applicable		05-12-1958	No		19-01-2016	26-07-2017			1	0	3	2		
7	Mr	Stephen Pagliuca	ZZZZZ9999Z	07995547	Non-Executive - Nominee Director	Not Applicable		14-01-1955	No		19-12-2017	19-12-2017			1	0	0	0	156	
8	Mr	Girsh Paranjpe	ABGPP8377C	02172725	Non-Executive - Independent Director	Not Applicable		20-03-1958	No		02-11-2018	02-11-2018		20	2	2	3	2		
9	Mr	Rajiv Anand	AACP24997A	02541753	Executive Director	Not Applicable		03-01-1966	No		04-08-2016	04-08-2019			1	0	1	0		
10	Mr	Rajesh Dahya	ADXP09316Q	07508488	Executive Director	Not Applicable		22-08-1967	No		04-08-2016	04-08-2019			1	0	1	0		
11	Mr	Pralay Mondal	ADNPM5725C	00117994	Executive Director	Not Applicable		17-10-1965	No		01-08-2019	01-08-2019			1	0	0	0		

[Home](#)[Validate](#)**Annexure 1****II. Composition of Committees**

Disclosure of notes on composition of committees explanatory

[Add Notes](#)

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandator
2. Date of Appointment can be any day upto June 30, 2020.
3. Date of Cessation must be for the current quarter only, i.e. October 1,2019 to

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically****Audit Committee Details**

Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02172725	Girsh Paranjpe	Non-Executive - Independent Director	Chairperson	30-01-2019		
2	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	11-02-2015		
3	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	19-01-2016		
4	00425793	B. Babu Rao	Non-Executive - Nominee Director	Member	17-10-2017		
5							
6							
7							
8							
9							
10							

**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically****Nomination and remuneration committee**

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02968574	Rohit Bhagat	Non-Executive - Independent Director	Chairperson	24-07-2015		
2	07995547	Stephen Pagliuca	Non-Executive - Nominee Director	Member	20-10-2018		
3	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	12-10-2016		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00425793	B. Babu Rao	Non-Executive - Nominee Director	Chairperson	19-01-2016		
2	07508488	Rajesh Dahya	Executive Director	Member	12-10-2016		
3	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	20-10-2018		
4							
5							
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07367868	Ketaki Bhagwati	Non-Executive - Independent Director	Chairperson	19-01-2016		
2	02968574	Rohit Bhagat	Non-Executive - Independent Director	Member	21-08-2014		
3	00531120	Amitabh Chaudhry	Executive Director	Member	01-01-2019		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00117692	Rakesh Makhija	Non-Executive - Independent Director	Chairperson	16-09-2019		
2	07508488	Rajesh Dahya	Executive Director	Member	12-10-2016		
3	02541753	Rajiv Anand	Executive Director	Member	24-10-2016		
4							
5							
6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

[Home](#)[Validate](#)**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	22-01-2020			Yes	10	5
2	27-02-2020	35		Yes	11	5
3	13-03-2020	14		Yes	10	5
4	18-03-2020	4		Yes	11	5
5	27-04-2020	39		Yes	11	5

[Add](#)[Delete](#)[Prev](#)[Next](#)

\* to be filled in only for the current quarter meetings

[Home](#)[Validate](#)

## Annexure 1

## IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	<a href="#">Add</a>	<a href="#">Delete</a>						
1	Audit Committee	13-01-2020				Yes	3	2
2	Audit Committee	22-01-2020	8			Yes	4	3
3	Audit Committee	17-03-2020	54			Yes	4	3
4	Audit Committee	28-04-2020	41			Yes	4	3
5	Audit Committee	18-06-2020	50			Yes	4	3
6	Corporate Social Responsibility Committee	16-03-2020				Yes	3	1
7	Corporate Social Responsibility Committee	17-06-2020	92			Yes	3	1
8	Nomination and remuneration committee	21-01-2020				Yes	3	2
9	Nomination and remuneration committee	28-02-2020				Yes	3	2
10	Nomination and remuneration committee	18-03-2020				Yes	3	2
11	Nomination and remuneration committee	27-04-2020	39			Yes	3	2
12	Nomination and remuneration committee	29-05-2020	31			Yes	3	2
13	Risk Management Committee	21-01-2020				Yes	3	2
14	Risk Management Committee	27-04-2020	96			Yes	3	2
15	Stakeholders Relationship Committee	24-04-2020				Yes	3	1

[Prev](#)[Next](#)

\* to be filled in only for the current quarter meetings

Home

Validate

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

Prev

Next



[Home](#)[Validate](#)

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 500 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

[Prev](#)[Next](#)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Girish V. Koliyote
2	Designation	Company Secretary and Compliance Officer

[Home](#)[Validate](#)

### Signatory Details

<b>Name of signatory</b>	Girish V. Koliyote
<b>Designation of person</b>	Company Secretary and Compliance Officer
<b>Place</b>	Mumbai
<b>Date</b>	10-07-2020

[Prev](#)