

# Q4 FY2023-24 Brokerage Structure



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
INDEX	Debt	KOTAK NIFTY SMALLCAP 50 INDEX FUND	01-Jan-2024 to 31-Mar-2024	Lump sum	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO			
				Systematic	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	0.6	NO		
INDEX	INDEX	Kotak S&P BSE Housing Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	0.45	NO		
INDEX	Equity	Kotak Nifty 200 Momentum 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	0.45	NO		
INDEX	INDEX	Kotak Nifty Financial Services Ex-Bank Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	0.45	NO		
INDEX	Debt	KOTAK NIFTY SDL JUL 2028 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO		
INDEX	INDEX	Kotak Nifty G-Sec July 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO		
INDEX	Debt	Kotak Nifty SDL Jul 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	0.19	NO	Full	100
INDEX	Debt	Kotak Nifty SDL Jul 2026 Index Fund	Lump sum	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	NO	Full	100	365	
			Systematic	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	0.18	NO	Full	100	365

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Equity	Equity	Kotak Manufacture In India Fund	Lump sum	1 to MAX	FIXED	0	1.6	1.6	1.2	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.6	1.6	1.2	1.1	1.1	NO	Full	100	365
Equity	Equity	Kotak Consumption Fund	Lump sum	1 to MAX	FIXED	0	1.55	1.55	1.5	1.4	1.4	NO			
			Systematic	1 to MAX	FIXED	0	1.55	1.55	1.5	1.4	1.4	NO			
Equity	Equity	Kotak Healthcare Fund	Lump sum	1 to MAX	FIXED	0	1.55	1.55	1.5	1.4	1.4	NO			

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							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%	Thld(D)
Equity	Equity	Kotak Healthcare Fund	01-Jan-2024 to 31-Mar-2024	Systematic	1 to MAX	FIXED	0	1.55	1.55	1.5	1.4	1.4	NO			
Equity	Equity	Kotak ESG Opportunities Fund		Lump sum	1 to MAX	FIXED	0	1.55	1.55	1.45	1.1	1.1	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.55	1.55	1.45	1.1	1.1	NO	Full	100	365
Equity	Equity	Kotak Banking and Financial Services Fund		Lump sum	1 to MAX	FIXED	0	1.5	1.5	1.5	1.25	1.25	NO			
				Systematic	1 to MAX	FIXED	0	1.5	1.5	1.5	1.25	1.25	NO			
Equity	Equity	Kotak Business Cycle Fund		Lump sum	1 to MAX	FIXED	0	1.45	1.45	1.25	1.15	1.15	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.45	1.45	1.25	1.15	1.15	NO	Full	100	365
Equity	Equity	Kotak Multi Asset Allocation Fund		Lump sum	1 to MAX	FIXED	0	1.4	1.4	1.2	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.4	1.4	1.2	1.15	1.15	NO			
Equity	Equity	Kotak Focused Equity Fund		Lump sum	1 to MAX	FIXED	0	1.4	1.4	1.15	1	1	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.4	1.4	1.15	1	1	NO	Full	100	365

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Equity	Equity	Kotak Pioneer Fund	Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.3	1.3	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.3	1.3	NO	Full	100	365
Equity	Equity Savings	Kotak Equity Savings Scheme	Lump sum	1 to MAX	FIXED	0	1.35	1.3	1.3	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.35	1.3	1.3	1.1	1.1	NO	Full	100	365
Equity	ELSS	Kotak Tax Saver Fund	Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.2	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.3	1.3	1.2	1	1	NO	Full	100	365
Equity	Equity	Kotak Multicap Fund	Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.1	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.3	1.3	1.1	1	1	NO	Full	100	365
Equity	Large Cap Fund	Kotak Bluechip Fund	Lump sum	1 to MAX	FIXED	0	1.28	1.28	1	0.9	0.9	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	1.28	1.28	1	0.9	0.9	NO	Full	100	365

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee					All Assets	B30 Inc Trailer Fee Clawback			
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%	Thld(D)
Equity	Small Cap Fund	Kotak Small Cap Fund	01-Jan-2024 to 31-Mar-2024	Lump sum	1 to MAX	FIXED	0	1.2	1.2	0.9	0.9	0.9	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.2	1.2	0.9	0.9	0.9	NO	Full	100	365
Equity	Large and Mid Cap Fund	Kotak Equity Opportunities Fund		Lump sum	1 to MAX	FIXED	0	1.18	1.18	1	1	1	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.18	1.18	1	1	1	NO	Full	100	365
Equity	Contra Fund	Kotak India EQ Contra Fund		Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365

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Equity	Sectoral or Thematic Fund	Kotak Infrastructure & Economic Reform Fund
Equity	MultiCap fund	Kotak Flexicap Fund
Equity	Mid Cap Fund	Kotak Emerging Equity
Equity	Arbitrage Fund	Kotak Arbitrage Fund
Equity	Equity	Kotak Nifty Next 50 Index Fund
Equity	Equity	Kotak Nifty 50 Index Fund
Equity	Equity	Kotak Quant Fund
Hybrid	Conservative Hybrid Fund	Kotak Debt Hybrid

Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	1.1	1.1	1	0.9	0.9	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.1	1.1	1	0.9	0.9	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	1.05	1.05	1	1	1	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.05	1.05	1	1	1	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.57	0.57	0.45	0.45	0.45	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.57	0.57	0.45	0.45	0.45	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.32	0.32	0.32	0.32	0.32	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.32	0.32	0.32	0.32	0.32	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
Lump sum	1 to MAX	FIXED	0	1.45	1.45	1	1	1	NO	Full	100	365

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
Hybrid	Conservative Hybrid Fund	Kotak Debt Hybrid	01-Jan-2024 to 31-Mar-2024	Systematic	1 to MAX	FIXED	0	1.45	1.45	1	1	1	NO	Full	100	365

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Hybrid	Balanced Hybrid Fund	Kotak Equity Hybrid
Hybrid	Dynamic Asset Allocation fund	Kotak Balanced Advantage Fund
Debt	Medium to Long Term Duration Fund	Kotak Bond Fund
Debt	Gilt Fund	Kotak Gilt Investments Fund
Debt	Medium Duration Fund	Kotak Medium Term Fund
Debt	Credit Risk Fund	Kotak Credit Risk Fund
Debt	Short Duration Fund	Kotak Bond Short Term Fund
Debt	Dynamic Bond	Kotak Dynamic Bond Fund
Debt	Low duration Fund	Kotak Low Duration Fund
Debt	Ultra short Duration Fund	Kotak Savings Fund

Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	1.2	1.2	0.95	0.95	0.95	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.2	1.2	0.95	0.95	0.95	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.95	0.95	0.95	0.95	0.95	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.95	0.95	0.95	0.95	0.95	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.85	0.8	0.8	0.8	0.8	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.85	0.8	0.8	0.8	0.8	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.8	0.75	0.75	0.75	0.75	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.8	0.75	0.75	0.75	0.75	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO	Full	100	365
Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO	Full	100	365
Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO	Full	100	365

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				Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO	Full	100	365
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Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee					All Assets	B30 Inc Trailer Fee Clawback			
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%	Thld(D)
Debt	Corporate Bond Fund	Kotak Corporate Bond Fund	01-Jan-2024 to 31-Mar-2024	Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO	Full	100	365
Debt	Debt	Kotak Floating Rate Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365
Debt	Debt	KOTAK NIFTY SDL PLUS AAA PSU BOND JUL 2028 60:40 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO	Full	100	365
Debt	Banking and PSU Fund	Kotak Banking and PSU Debt Fund		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO	Full	100	365
Debt	Debt	Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index Fund		Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
Debt	Debt	Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index Fund		Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
Debt	Money Market scheme	Kotak Money Market Scheme		Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365
				Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365
Debt	Overnight Fund	Kotak Overnight Fund	Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365	

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			Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365
Debt	Liquid Fund	Kotak Liquid Fund	Lump sum	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	NO	Full	100	365
Debt	Debt	Kotak All Weather Debt FOF	Lump sum	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO	Full	100	365
FOF	FOF	Kotak Global Innovation Fund of Fund	Lump sum	1 to MAX	FIXED	0	1.15	1.15	1	0.95	0.95	NO	Full	100	365

Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee					All Assets	B30 Inc Trailer Fee Clawback				
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr		>=5th Yr	Type	%	Thld(D)	
FOF	FOF	Kotak Global Innovation Fund of Fund	01-Jan-2024 to 31-Mar-2024	Systematic	1 to MAX	FIXED	0	1.15	1.15	1	0.95	0.95	NO	Full	100	365	
FOF	FOF	Kotak International REIT FOF		Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.7	0.7	NO	Full	100	365	
				Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.7	0.7	NO	Full	100	365	
FOF	Fund of Fund	Kotak Multi Asset Allocator Fund of Fund - Dynamic		Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.65	0.65	0.65	NO	Full	100	365	
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.65	0.65	0.65	NO	Full	100	365	
FOF	FOF	Kotak Silver ETF Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO				
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO				
FOF	FOF	Kotak NASDAQ 100 Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365	
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365	
FOF	Fund of Fund	Kotak Global Emerging Fund		Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365	

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			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365
FOF	Fund of Fund	Kotak Gold Fund	Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365



